

,QVWLWXWLRQDO &KDUDFWHULVWLFV +HDGHU 2YHUYLHZ

:HOFRPH WR WKH ,QVWLWXWLRQDO &KDUDFWHULVWLFV +HDGHU VXUYH\ FRPSRQHQW  
FROOHFW GDWD WKDW DUH NH\ WR UHSRUWLQJ WKURXJKRXW WKH ,3('6 GDWD FROOHFW  
DQ\ RWKHU VXUYH\ FDQ EH VWDUWHG

6RPH ,& +HDGHU TXHVWLRQV PD\ UHTXLUH QRWKLQJ PRUH WKDQ D FRQILUPDWLRQ LI  
FKDQJHV DV QHFHVVDU\ DQG FRPSOHWH LWHPV WKDW GR QHHG D UHVSQRVH HQURO

5HPHPEHU LW LV WKH UHVSQRVLELOLW\ RI WKH NH\KROGHU WR SURYLGH 1&(6 ZLWK D  
QHYHU KHVLWDWH WR FDOO WKH ,3('6 +HOS 'HVN DW DQG DVN IRU KHOS WR  
FRUUHFWO\

&KDQJHV WR 7KLV <HDU V ,& +HDGHU &RPSRQHQW  
7KH PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQL]DWLRQ PXVW QRZ EH VHOH  
%HFDXVH RI WKH LPSRUWDQFH RI WKH ,& +HDGHU GDWD LQ GHWHUPLQLQJ WKH VFUHH  
WR UHSRUW FRUUHFWO\ DQG WR FRQWDFW WKH ,3('6 +HOS 'HVN LI \RX KDYH \$1< TXH  
7KH ,& +HDGHU GDWD DIIHFW RWKHU VXUYH\ FRPSRQHQWV LQ WKH IROORZLQJ ZD\V



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK )ORULGD 0DLQ &DPSXV  
8VHU , ' 3

3DUW % 2UJDQLJDWLRQ &RQWURO DQG /HYHOV

:KDW LV \RXU LQVWLWXWLRQDO FRQWURO RU DILOLDWLRQ"

%H VXUH WR VHOHFV WKH FRUUHFV FRQWURO IRU \RXU LQVWLWXWLRQ (UURUV RQ WK  
,3('6 VXUYH\V LQ IHGHUDO UHSRUWLQJ LQ QHW SULFH JURXSLQJV DQG RQ \RXU LQVW  
\RX UHSRUWHG LQFRUUHFV\ LQ D SUHYLRXV \HDU SOHDVH FRQWDFW WKH +HOS 'HVN

⊗ 3XEOLF 6HOHFV  
SULPDU\ DQG RU  
VHFRQGDU\  
FRQWUROV EHORZ  
3ULPDU\ FRQWUROV\ FRQWURO LI DSSOLFDEOH

○ 3ULYDWH IRU SURILW

○ 3ULYDWH QRW IRU SURILW LQGHSHQGHQW QR UHOLJLRXV DILOLDWLRQ

○ 3ULYDWH QRW IRU SURILW UHOLJLRXV DILOLDWLRQ 6HOHFV DILOLDW

:KDW DZDUG OHYHOV DUH RIIHUG E\ \RXU LQVWLWXWLRQ" >&KHFN DOO WKDW DSSO

:KHQ UHSRUWLQJ DZDUG OHYHOV IRU VXE EDDFDODXUHDWH FHUWLILFDWHV OHYHOV  
QXPEHU RI FUHGLW RU FRQWDFW KRXUV 127 WKH DFDGHPLF \HDU OHQJWK LQ SDUHGW  
PHDQW RQO\ WR SURYLGH FRQWH[W

7KH 2WKHU DZDUG OHYHO VKRXOG QRW EH XVHG XQOHVV \RXU SURJUDP WUXO\ GRHV  
H[SHFW YHU\ IHZ LQVWLWXWLRQV WR ILW WKH 2WKHU FDWHJRU\  
\$ZDUG /HYHO

%( /2: 7+( %\$&&\$/\$85(\$7(

3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI OHVV WKDQ RQ  
OHVV WKDQ FRQWDFW RU FORFN KRXUV RU  
OHVV WKDQ VHPHVWHU RU WULPHVWHU FUHGLW KRXUV RU  
OHVV WKDQ TXDUWHU FUHGLW KRXUV

3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI DW OHDVW RQ  
\HDUV  
DW OHDVW EXW OHVV WKDQ FRQWDFW RU FORFN KRXUV RU  
DW OHDVW EXW OHVV WKDQ VHPHVWHU RU WULPHVWHU FUHG  
DW OHDVW EXW OHVV WKDQ TXDUWHU FUHGLW KRXUV

\$VVRFLDWH V GHJUHH

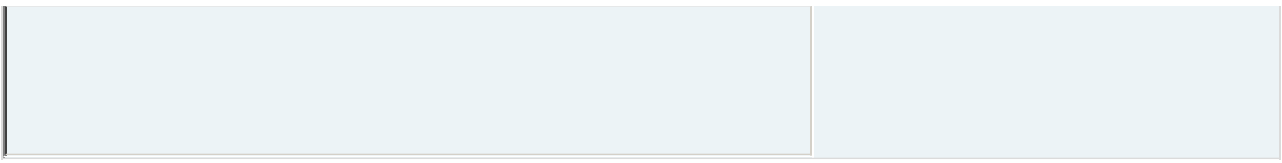
3RVVVFHRQGDU\ DZDUG FHUWLILFDWH RU GLSORPD RI DW OHDVW WZ  
\HDUV  
RU PRUH FRQWDFW RU FORFN KRXUV RU  
RU PRUH VHPHVWHU RU WULPHVWHU FUHGLW KRXUV RU  
RU PRUH TXDUWHU FUHGLW KRXUV

%%\$&&\$/\$85(\$7( \$1' \$%29(

%DFKHORU V GHJUHH RU HTXLYDOHQW

3RVWEDFFDODXUHDWH FHUWLILFDWH

ODVWHU V GHJUHH



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK )ORULGD 0DLQ &DPSXV  
8VHU , ' 3

3DUW % 2UJDQLJDWLRQ &DOHQGDU 6\ VWHP  
<RXU UHVSQRVH WR WKH QH[W TXHVWLRQ GHWHUPLQHV KRZ \RXU LQVWLWXWLRQ UHSR  
VWXGHQW FKDUJHV GDWD LQ WKH IDOO 6WXGHQW )LQDQFLDO \$LG GDWD LQ WKH VSUL  
VSULQJ ,W DOVR LPSDFWV WKH QHW SULFH FDOFXODWLRQ LQ WKH 6WXGHQW )LQDQFL  
,I WKH FDOHQGDU V\ VWHP GLIIHUV IURP SULRU \HDU RU UHTXLUHV D FKDQJH SOHDVH  
? :KDW LV WKH SUHDRPLQDQW FDOHQGDU V\ VWHP DW WKH LQVWLWXWLRQ" >&KRRVH

\$FDGHPLF <HDU 5HSRUWLQJ 0HWKRG 6WDQGDUG DFDGHPLF WHUPV  
6HOHFWLQJ RQH RI WKH IROORZLQJ FDOHQGDU W\SHV GHWHUPLQHV WKDW \RXU LQV  
\*UDGXDWLRQ 5DWHV GDWD EDVHG RQ D )\$// &2+257 DQG VWXGHQW FKDUJHV GDWD IR

6HPHVWHU

4XDUWHU

7ULPHVWHU

\_\_\_\_\_ RU VLPLODU SODQ

3URJUDP 5HSRUWLQJ 0HWKRG 2WKHU FDOHQGDU V\ VWHP  
6HOHFWLQJ RQH RI WKH IROORZLQJ FDOHQGDU W\SHV GHWHUPLQHV WKDW \RXU LQV  
EDVHG RQ D )8// <(\$5 &2+257 6WXGHQW )LQDQFLDO \$LG GDWD RQ WKH PRQW FRKR  
VWXGHQW FKDUJHV GDWD E\ 352\*5\$0

'LIIHUV E\ SURJUDP

&RQLQXR XV EDVLV HYHU\ ZHHNV PRQWKO\ RU RWKHU SHULRG

+ \EULG 0LJHG 5HSRUWLQJ 0HWKRG 6WDQGDUG DFDGHPLF WHUPV RWKHU DFDGHPLF  
6HOHFWLQJ WKH K\EULG FDOHQGDU W\SH GHWHUPLQHV WKDW \RXU LQVWLWXWLRQ Z  
)8// <(\$5 &2+257 6WXGHQW )LQDQFLDO \$LG GDWD RQ WKH PRQW FRKR IURP )D  
GDWD IRU D IXOO \$\$\$(0,& <(\$5

+ \EULG 2WKHU DFDGHPLF FDOHQGDU

HUVLW\ RI 6RXWK )ORULGD 0DLQ &DPSXV

LRQ 6WXGHQW (QUROOPHQW  
WLRQ HQUROO DQ\ RI WKH IROORZLQJ W\SHV RI VWXGHQWV"

RIIHUHG E\ \RXU LQVWLWXWLRQ **HYHQ LI WKHUH DUH QR VWXGH**

XHVWLRQ GHWHUPLQH ZKLFK VFUHHQV ZLOO EH JHQHUDWHG IRU

QUROOPHQW GXULQJ WKH VSULQJ FROOHFWLRQ \$GGLWLRQDOO

VHHNLQJ XQGHUJUDGXDWL VWXGHQWV GHWHUPLQH WKDW \RX

W DQG 6WXGHQW )LQDQFLDO \$LG GDWD IRU WKHVH VWXGHQWV

)XOO WLPH 3DUW WLPH

8QGHS GHPLF RU RFFXS DWLRQ DO SURJUDPV1R <HV

)LUD UHH FHUWLILFDWH VHHNLQJ <XQGHUJUDGXDWL V

\*UDGXDWL XGLQJ GRFWRU V SURIHVVLRQDO SUDFWLFH <HV

'RHV \RXU WLRQ HQUROO VWXGHQWV LQ GRFWRU V SURIHVVLRQDO SUDFWL

TXHVWLRQ ZLOO Z \RXU LQVWLWXWLRQ WR UHSRUW JUDGXDWL CHYO VWXGHQW

HQUROOLQJ VWX V LQ RQH RI WKH OLVWHG SURJUDPV \RX ZLOO DOVR UHSRUW W

1R

<HV

? 'R \RX HQUROO VWXGHQWV LQ RQH RI WKH IROORZLQJ"

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK )ORULGD 0DLQ &DPSXV  
8VHU , ' 3

3DUW % 0XOWL LQVWLWXWLRQ RU 0XOWL FDPSXV 2UJDQLJDWLRQ  
0XOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQLJDWLRQ

,V WKH LQVWLWXWLRQ SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV RUJDQLJDWLRQ  
LQVWLWXWLRQ" 'R 127 LQGLFDWH D UHOLJLRXV DILOLDWLRQ KHUH WKDW LQIRUPDWLRQ

,I \RX QHHG DVVLVWDQFH RU QHHG WR PDNH FKDQJHV FRQWDFW WKH +HOS 'HVN DW  
\RXU VXEPLVLRQ LI WKLV TXHVWLRQ LV EODQN

○ 1R WKLV LQVWLWXWLRQ ,6 127 D SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDP  
WKH LQVWLWXWLRQ

○ <HV WKLV LQVWLWXWLRQ ,6 D SDUW RI D PXOWL LQVWLWXWLRQ RU PXOWL FDPSXV  
LQVWLWXWLRQ

6WDWH 8QLYHUVLW\ 6\VVHP RI )ORULGD

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK )ORULGD 0DBQHU\PSXV  
6XPPDU\



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK )ORULGD 0D&Q&D,PSX3/  
(GLW 5HSRUW

,& +HDGHU